

<b>.ASIA Registry Policies Document</b>			
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<b>Executive Summary</b>			

This document is an addendum to the .ASIA Sunrise Policies. The document provides some additional considerations for Sunrise 2c (SR2c) and Sunrise 3 (SR3) along with some clarifications for the general Sunrise process. These additional considerations are developed based on in-depth discussions with and feedback from the intellectual property rights community. The additions are designed to complement the Sunrise framework, which aims to ensure an orderly and equitable allocation of domains to qualified parties before the Registry is opened for general registrations.

The following additional considerations will be allowed during SR2c and SR3:

Addendum to Sunrise 2c (SR2c):

- Consideration for Registered Marks without Nice Classification
- Combination of 2 or more Registered Marks as an Acceptable Extension
- Language of Acceptable Extension

Addendum to Sunrise 3 (SR3):

- Considerations for commonly used short forms and omission of locality indications
- Acceptance of standard Romanization and Transliterations for Company Names
- Acceptance of standard Romanization and Transliterations for Trademarks
- Registered Marks Containing an Existing TLD as a Suffix
- Domain names that were subject of proceedings under the UDRP

For SR2c, Acceptable Extensions have been broadened to include words and phrases used in the described goods and services for a Registered Mark, especially where a mark is registered with a trademark office that does not implement the Nice Classification system. Acceptable Extensions will also include combination of two (2) or more Registered Marks in their entirety (Exact Match and Acceptable Match).

For SR3, the Acceptable Match considerations are enhanced to allow for initials and short forms of an Entity Name, as well as the omission of locality indications (e.g. the city, prefecture, province or country identifiers included in an Registered Entity Name). SR3 is also expanded for standard Romanized form of Entity Names and Registered Marks, and for Applicants with Registered Marks that contain an existing TLD as a suffix to omit the particular suffix in the Domain Name Applied For. Finally, SR3 will also accept Domain Names Applied For based on an exact match to a domain name that was subject of a UDRP proceeding decision.

The document also provides clarifications to the following items:

1. 2 Character Trademarks and Domain Names Applied For
2. Languages Considered for Expression of Special Characters

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3. Figurative Marks
4. Declaration of Official English Name
5. Required bibliographical data for Sunrise 1
6. Amendments Process
7. Sunrise Challenge Process
8. Usage and applicability of the Operations & Notifications Contact
9. Utilization of the SR1 Indication
10. Automatic Consideration of Application for Subsequent Phases
11. Acceptable Extensions for SR2c Utilizing Multiple Subclasses
12. Special Refunds for Unsuccessful SR2c and SR3 Applications

These clarifications do not change the intent and scope of the .ASIA Sunrise Policies.

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<b>Policy Specification</b>
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## 1 Addendum to Sunrise 2c (SR2c)

SR2c is intended to provide extended protection for Registered Mark owners before .ASIA domain names become more widely available. The .ASIA Registry has received a large number of questions and positive comments regarding SR2c considerations. The response clearly demonstrated affirmative interest in the Extended Protection scheme implemented for SR2c.

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Based on the feedback received, this addendum introduces three enhancements to the SR2c provisions:

- Consideration for Registered Marks without Nice Classification
- Combination of 2 or more Registered Marks as an Acceptable Extension
- Language of Acceptable Extension

## 1.1 Registered Marks without Nice Classification

The .ASIA Sunrise Policies provided the opportunity during SR2c for holders of Registered Marks (that qualify for SR2a or SR2b) and whose marks conform to the Nice Classification system (<http://www.wipo.int/classifications/nivilo/nice/>) to apply for additional domains that consists of a combination of their mark (in entirety – i.e. Acceptable Match according to Section 3.3 of the .ASIA Sunrise Policies) and significant words from the titles, subtitles and descriptions of the Nice class their mark has been registered in.

The .ASIA Registry is aware of the fact that not all countries in the world have ratified the Nice Agreement and/or are using the Nice Classification. This addendum broadens the scope of SR2c eligibility to allow the inclusion of words and phrases contained in or relating to the description of goods and services of a Registered Mark.

More specifically, in the case where the Applicant invokes a Registered Mark that has been registered with a trademark office or organization that is not using Nice Classification, the Applicant must include the description of goods and services as mentioned in (i) the trademark registration certificate or (ii) where available, the record of an online trademark office and in the language such certificate or online record is couched. Please note that only descriptions in Latin-based scripts will be considered.

Consistent with the SR2c provisions, plural or other grammatical forms of significant words and phrases (hyphenated or combined -- i.e. space removed) in the description of goods and services are also accepted. Resulting text strings of combining an Exact Match or Acceptable Match domain for a Registered Mark that is eligible for SR2a or SR2b with Acceptable Extensions will be considered acceptable Applications for SR2c. The appropriateness of such combinations will be determined by the Registry / Verification Agent following receipt of the Application.

To submit an application based on this addendum, the data for Registered Class <ipr:class> should be set to "0". For example: <ipr:class>0</ipr:class>.

## 1.2 Combination of Two or more Registered Marks

For all SR2 applications, the .ASIA Sunrise Policies provided for the acceptance of a Domain Name Applied For to be based on one particularly invoked Registered Mark. This addendum extends that provision during SR2c for a Domain Name Applied For to be based on a combination of two (2) or more Registered Marks (hyphenated or combined). All Registered Marks invoked must qualify for SR2a and/or SR2b. More specifically, the Registered Marks must be applied for on or before December 6, 2006 and be registered and in full force and effect upon the submission of the domain name application.

Subject to the requirements set out in Section 3.3 in the .ASIA Sunrise Policies, the Registered Marks must be incorporated in full (Exact Match or Acceptable Match). For example, the owner

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of 2 Registered Marks: “ABC” and “XYZ” is entitled to apply for the following domain names during SR2c: “abcxyz.asia”, “abc-xyz.asia”, “xyzabc.asia”, and “xyz-abc.asia”

Further combination of words or phrases (hyphenated or combined -- i.e. space removed) from the Class of either one of the Registered Marks (based on Section 3.6 of the .ASIA Sunrise Policies) and/or the description of goods and services (Section 1.1 above) will also be accepted. For example, “abcxyzchemicals.asia”.

In order to apply for a domain name utilizing this addendum, the Applicant must be the owner, co-owner or assignee of all Registered Marks contained in the Domain Name Applied For.

Furthermore, appropriate information must be included in the bibliographical data submitted to the Registry:

- **Registered Mark** <ipr:name>: The *primary mark* (i.e. one of the Registered Mark owned by the Registrant) followed by a square-bracketed, semi-colon separated list of the other Registered Marks invoked for the Domain Name Applied For. E.g.:
  - <ipr:name>ABC [XYZ;PQR]</ipr:name>
- **Registration Number** <ipr:number>: The Registration or serial number of the *primary mark* invoked, followed by a square-bracketed, semi-colon separated list of the registration locality and registration number of the other Registered Marks invoked. The Registration Locality (using the corresponding WIPO Standard ST.3 two-letter code) should be provided in parentheses and prefixed to the corresponding Registration number:
  - <ipr:number>1234 [(GB)9876;(US)5432]</ipr:number>
- **Registration Locality** <ipr:ccLocality>: Location (country/economy) where the right is established for the primary mark;
- **Application Date** <ipr:appDate>: Date the *primary mark* was applied for;
- **Registration Date** <ipr:regDate>: Date on which the trademark office has registered the *primary mark*;
- **Registered Class** <ipr:class>: Number of the Class of the *primary mark* according to the Nice Classification System.
- **Nature of Entitlement** <ipr:entitlement>: Whether the Applicant (corresponding to the Registrant Contact) holds the trademark as the original “OWNER”, “CO-OWNER” or “ASSIGNEE”;
- **Sunrise Phase** <ipr:type>: SR2c

Note that the *primary mark* and the word chosen as the extension must correspond with the Registered Class should the Domain Name Applied for include an Acceptable Extension based on Section 3.6 of the .ASIA Sunrise Policies and/or Section 1.1 above.

If any of the Registered Marks invoked do not list the Registrant Contact as the registered owner of the Registered Mark the Applicant must indicate it by entering “assignee” in the Nature of Entitlement field. Further declarations as described in Section 3.2 of the .ASIA Sunrise Policies may be required.

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The invoked Registered Marks must be included in full (Exact Match or Acceptable Match) in the Domain Name Applied For. Resulting text strings of combining Exact Matches, Acceptable Matches for Registered Marks with Acceptable Extensions will be considered acceptable Applications for SR2c.

The appropriateness of such combinations will be determined by the Registry / the Verification Agent following receipt of the Application. More importantly, incidental secondary meanings of the resulting string may be considered in the assessment of the appropriateness of the Application.

### 1.3 Description of Goods & Services and Language of Acceptable Extensions

SR2c of the .ASIA Sunrise Policies allows the Domain Name Applied For to contain additional words or phrases (hyphenated or combined -- i.e. space removed) that appear in the class title, subtitles or descriptions of the products and services for which the Registered Mark has been registered. The .ASIA Sunrise Policies further specifies that the Nice Classification system and the reference text found at: <http://www.wipo.int/classifications/nivilo/nice/index.htm> (including the different versions and editions provided at the WIPO website), will be used.

This addendum clarifies that the significant words and phrases contained in or relating to the description of goods and services for a Registered Mark will also be allowed as an Acceptable Extension. If the words and phrases are taken from the registered description of goods and services, these should be in the language of the registration certificate or online record at the trademark office.

In addition, this addendum clarifies that if the words and phrases are taken from the class title, subtitles or descriptions of the Nice Classification, such words may be taken from the reference text in both the English and in the French language.

Consistent with the SR2c provisions, plural or other grammatical forms of significant words and phrases (hyphenated or combined -- i.e. space removed) in the description of goods and services are also accepted. Resulting text strings of combining an Exact Match or Acceptable Match domain for a Registered Mark with Acceptable Extensions will be considered acceptable Applications for SR2c. The appropriateness of such combinations will be determined by the Registry / Verification Agent upon receipt of the Application.

## 2 Addendum to Sunrise 3 (SR3)

Consistent with the .ASIA Sunrise Policies, unlike SR2 Applications, SR3 Applications will not be verified. However, the Registry and the Verification Agent may check The Domain Name Applied For against the Applicant's declared Entity Name <ipr:name> and those documents submitted by the Applicant. The Domain Name Applied For must correspond with the Applicant's Entity Name and the name of the Registrant Contact.

This addendum does not change the nature of SR3, for which Applications are "unverified".

However, four significant enhancements are included to facilitate priority for companies and brand owners:

- Considerations for officially used initials, short forms and omission of locality indicators

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- Acceptance of standard Romanization and transliterations of non-Latin based languages (or scripts) for both Entity Names (e.g. company names) and Registered Marks
- Registered Marks Containing an Existing TLD as a Suffix
- Domain names that were subject of proceedings under the UDRP

Most importantly however, the appropriateness of an application will be determined by the Registry / Verification Agent upon receipt of an Application, with special considerations for incidental secondary meanings of a resulting string. A resulting string if coincidentally infringes upon the rights of others or otherwise having a secondary meaning that could be generally considered to be a generic word or phrase may be challenged through the Sunrise Challenge process. Should the challenger prevail, the Domain Name Application will be declined and cancelled.

## 2.1 Additional Considerations for Acceptable Match

The .ASIA Sunrise Policies stipulates that the eligibility requirements for domain names as set out for SR2 are equally applicable to SR3 Applications: The Domain Name Applied For must correspond to the name of the Applicant entity and the rules governing Exact Match and Acceptable Match as described in Section 3.3 of the .ASIA Sunrise Policies shall apply.

This addendum adds two exceptions to the requirement of exact correspondence of the Entity Name and the Domain Name Applied For. These exceptions will lead to an Acceptable Match between the Entity Name and the Domain Name Applied For in SR3 applications for Entity Names:

1. **Officially used Initials and Short Forms:** initials, short-forms or other officially used variants of a company or organisation name that corresponds to the Registered Entity Name may be used as the Domain Name Applied For. The Verification Agent may request further documentation demonstrating the official use of the form specified in the Domain Name Applied For. Official use includes usage in communications with customers, suppliers, or third parties, such as business cards, advertisements, signage or other published materials. For example, a company “Andrew, Ben & Carol Ltd.” may (if satisfying the official use requirement) apply for the domain “ABC.Asia”, or for example a company “Northern Transport Limited” may apply for the domain “NorTrans.Asia”.

In order to signal to the .ASIA Registry that an official initial or short-form is used for the Domain Name Applied for, the Applicant must include a square-bracketed tag “[INI]” in front of the full entity name in the Entity Name field in the application.

For example: <ipr:name>[INI] Northern Transport Limited</ipr:name>

2. **Omission of Locality Indicators:** locality indicators, such as place, city, province, economy or country names may be omitted in the Domain Name Applied For. Such locality indicator must correspond or be within the locality for which the Entity is established (as declared in the Registered Locality field <ipr:ccLocality> of the bibliographical data submitted along with the application). The Domain Name Applied For must also correspond with an officially used name of the Registered Entity. The Verification Agent may request further documentation demonstrating the official use of the form specified in the Domain Name Applied For. For example, a company “ABC Beijing Company Limited” that is registered in Beijing, China, may (if satisfying the official use requirement) apply for the domain “ABC.Asia”.

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In order to signal to the .ASIA Registry that locality indicators are omitted in the Domain Name Applied For, the Applicant must include a square-bracketed tag “[LOC]” in front of the full entity name in the Entity Name field in the application.

For example: <ipr:name>[LOC] ABC Beijing Company Limited</ipr:name>

## 2.2 Acceptance of Standard Romanization and Transliterations

A significant number of companies and trademarks in Asia exist only in the local language. The .ASIA Sunrise Policies stipulate that “unless the transliteration, romanization or variant form of a Registered Mark or an Entity Name is registered explicitly as a variant or alternative of the mark or name, transliterations are not acceptable replacements and the resulting text will not be considered an Acceptable Match.”

This addendum changes the rule for applications during SR3 to accept standard Romanization and transliterations of Asia languages (official and local languages used in Asia) as an Acceptable Match for SR3 applications. This addendum also broadens the scope of SR3 to include Registered Marks that are in non-Latin script languages registered with trademark offices within the DotAsia Community to apply for a corresponding .ASIA domain based on the Romanized form of the Registered Mark.

Because a Romanized or transliterated form of a Registered Mark (and company name) cannot be verified definitively by the Verification Agent, applications for Domain Names based on such Registered Marks are accepted in SR3 rather than SR2. Nevertheless, the Romanization should follow a standard published by a competent authority within the DotAsia Community.

Such authorities and Romanization standard include but are not limited to, for example, Pinyin in China (approved at the National People's Congress and put into effect by the National Educational Commission and the National Language Commission) and Romaji in Japan (Shūsei Hebon-shiki Rōmaji). The Romanization method and corresponding language (or script) must correspond to the locality for which the Registered Entity or Registered Mark is established. For example, if the declared Locality of Establishment is China, <ipr:ccLocality>CN</ipr:ccLocality>, then it is assumed that the Romanization method used is Pinyin.

### 2.2.1 Romanized Entity Names

For Applicants invoking an Entity Name as the claim for an SR3 application, where the Entity Name is registered in the local language only at the local registration authority, the Romanized form of the Entity name should be submitted as the Registrant Contact name and the Registered Entity Name <ipr:name>. A declaration of the Official English Name may be requested by the Verification Agent. Such declaration should clearly indicate that the Official English Name represents the entity described in the submitted documentary evidence for the SR3 application.

As with all SR3 applications invoking a Registered Entity for the Domain Name Applied For, Applicants must submit as part of the Application complete registration information in relation to the applicant entity that qualifies it for the Domain Name Applied For.

The documentary evidence may be submitted via EPP by including a reference URL into the Entity Name field (e.g. <ipr:name>Company Ltd. [<http://company.asia/cert.pdf>]</ipr:name>), or must be submitted electronically otherwise within 10 calendar days of the filing of a domain

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name Application. This submission must be delivered in an Accepted Electronic Format (or posted at the URL provided).

In order to signal to the .ASIA Registry that an Application is invoking a Romanized version of an Entity Name, the Applicant must include a square-bracketed tag “[ROM-CO]” in front of the full entity name in the Entity Name field in the application. For example:

- `<ipr:name>[ROM-CO] Company Name Ltd.</ipr:name>`

## 2.2.2 Romanized Registered Marks

In order to signal to the .ASIA Registry that an Application is invoking a Romanized version of a Registered Mark, the Applicant must include a square-bracketed tag “[ROM-TM]” in front of the full entity name in the Entity Name field in the application. For example:

- `<ipr:name>[ROM-TM] Registered Mark</ipr:name>`

Furthermore, the following bibliographical data must be provided:

- **Registration Number** `<ipr:number>`
- **Registration Locality** `<ipr:ccLocality>`
- **Application Date** `<ipr:appDate>`: must be on or before December 6, 2006
- **Registration Date** `<ipr:regDate>`
- **Nature of Entitlement** `<ipr:entitlement>`: “OWNER”, “CO-OWNER” or “ASSIGNEE”
- **Form of Claim** `<ipr:form>`: must be filled in, and should be filled as “Other”
- **Sunrise phase** `<ipr:type>`: SR3

Note that the requirements are similar to those for SR2b applications with the exception that the `<ipr:form>` field (used in SR3 for Form of Entity) must be completed and should be filled in as “Other” and `<ipr:type>` field set to “SR3”.

## 2.3 Registered Marks and Entity Names Containing an Existing TLD as a Suffix

Section 3.3 of the Sunrise Policies describes the rules governing the Exact Match and Acceptable Match for Registered Marks. This addendum extends the exception provisions to allow holders of Registered Marks and Entity Names that contain a suffix that is identical to and intended to express an existing gTLD (a list can be found at <http://www.iana.org/gtld/gtld.htm>) or a ccTLD (<http://www.iana.org/root-whois/index.html>) to omit such extension from the Domain Name Applied For during Sunrise 3 (SR3). For example, a Registered Mark: “ABCD.ORG”, may apply for: “ABCD.Asia”; or a company registration for: “WXYZ.INFO Co. Ltd.” may apply for “WXYZ.Asia”.

For cases where a Registered Mark is invoked, the bibliographical trademark information to be submitted to the Registry is essentially the same as referred to in Section 3.1 of the .ASIA Sunrise Policies with the exception (same as 2.2 above) that the `<ipr:form>` field (used in SR3 for

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Form of Entity) must be completed and should be filled in as “Other” and <ipr:type> field set to “SR3”.

For cases where an Entity Name is invoked, the same bibliographical data as described in Section 4.1 of the .ASIA Sunrise Policies for SR3 applications is sufficient.

No specific denotation is required for the <ipr:name> field.

## 2.4 Domain Names that were Subject of Proceedings under the UDRP

This addendum allows holders of Registered Marks corresponding to domain names that were subject of proceedings under the UDRP to apply for the identical domain (not including the TLD suffix) in the .ASIA TLD provided that:

- the Applicant was the actual complainant in the proceedings under the UDRP; and
- the Applicant invoked the same Registered Mark in such proceedings; and
- the Applicant is the owner of said Registered Mark; and
- the Applicant obtained a decision whereby the Domain Name Applied For is transferred to the complainant (i.e. the Applicant).

The Domain Name Applied For must be identical to the domain name that was subject to the respective UDRP proceeding, with the exception that the suffix be replaced with the .ASIA TLD.

The following information must be included in the bibliographical data submitted to the Registry:

- **Registered Mark** <ipr:name>: The Registered Mark (or one of the Registered Marks) invoked by the Registrant during the UDRP proceedings;
- **UDRP Decision Number** <ipr:number>: the dispute resolution service provider and the reference number of the UDRP decision. *E.g.*
  - <ipr:number>WIPO D2007-0600</ipr:number> (in case the dispute was dealt with by the World Intellectual Property Organization); or
  - <ipr:number>NAF 0092974</ipr:number> (in case the dispute was dealt with by the National Arbitration Forum; only the last 7 digits of the case number need to be reported); or
  - <ipr:number>CPR 0624</ipr:number> (in case the dispute was dealt with by the International Institute for Conflict Prevention & Resolution; only the last 7 digits of the case number need to be reported); or
  - <ipr:number>HK-0700125</ipr:number> (in case the dispute was dealt with by the Hong Kong Office of the Asian Domain Name Dispute Resolution Centre); or
  - <ipr:number>CN-0700159</ipr:number> (in case the dispute was dealt with by the Beijing Office of the Asian Domain Name Dispute Resolution Centre).
  - <ipr: number> KR-0700006 </ipr: number> (in case the dispute was dealt with by the Seoul Office of the Asian Domain Name Dispute Resolution Centre)
- **Registration Locality** <ipr:ccLocality>: Location (country/economy) where the Registered Mark is registered;

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- **Nature of Entitlement** <ipr:entitlement>: Whether the Applicant (corresponding to the Registrant Contact) holds the trademark as the original “OWNER”, “CO-OWNER” or “ASSIGNEE”;
- **Form of Claim** <ipr:form>: must be filled in, and should be filled as “Other”
- **Sunrise phase** <ipr:type>: SR3

Note that the requirements are similar to those for SR2b applications with 3 exceptions:

1. <ipr:number> is NOT the Registered Mark number but rather the UDRP Decision Number
2. The <ipr:form> field (used in SR3 for Form of Entity) must be completed and should be filled in as “Other”
3. <ipr:type> field must be set to “SR3”

### 3 Sunrise Process Clarifications

The following clarifications do not change the .ASIA Sunrise Policies, but provide clarifications to the process and intents of the policies in accordance with other rules and policies applicable to the .ASIA Registry, such as the Charter Eligibility Requirement Policies and the Reserved Names Policies.

#### 3.1 Two-Character Registered Marks and Acceptable Matches

Based on the ICANN Reserved Names requirements stipulated by the Registry Operator Agreement with ICANN, all 2-character domain names are reserved. This requirement is further described in the .ASIA Reserved Names Policies.

In the case where an Applicant is basing its Sunrise Application on a Registered Mark that results in an Exact Match or Acceptable Match consisting of only two (2) letters or digits, the Applicant may insert a hyphen (“-”) between the two characters in the Domain Name Applied For. The resulting string shall be considered an Acceptable Match. For example, if a Registered Mark “AB” is held by the Applicant, the latter is entitled to apply for: “A-B.Asia”.

#### 3.2 Languages Considered for Expression of Special Characters

Section 3.3.1 of the .ASIA Sunrise Policies provides that punctuations and special characters in a Registered Mark may also be omitted or replaced by hyphens. These characters include the hyphen itself as a special character that may be omitted in the Domain Name Applied For. More specifically that, for example, a Registered Mark: “AB-CD” is eligible for the domain: “ABCD.Asia”.

Section 3.3.1 further specifies that punctuations and special characters may also be spelled out in full utilizing LDH (Letter Digit Hyphen) characters with appropriate word(s) describing the character. For example, “A+B” can be written as “AandB.asia” or “AplusB.asia”. And that the appropriateness of the replacement word will be determined by the Verification Agent with

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additional information sought from the Applicant should there be any questions regarding the appropriateness of the word(s) used.

As a clarification to the above, such replacements must be in Latin-based languages. For example, “AundB.Asia” will be considered an Acceptable Match (“und” being the German expression of “and”), while “AjiaB.Asia” will not (“jia” being a transliteration of the word “and” in Chinese).

### 3.3 Figurative Marks

Section 3.3 of the .ASIA Sunrise Policies explained that, for Registered Marks that include words, devices, pictures, logos etc, the Domain Name Applied For must be the text or word exclusively contained and in its entirety in the Registered Mark.

As a clarification, an Acceptable Match will be considered by examining the textual element of a figurative mark from left-to-right and from top-to-bottom. For example, an acceptable Domain Name Applied For based on the following figurative mark would be “ABCD.Asia”

AB  
CD

For all Sunrise 2 applications, in the case an Applicant invokes in an Application a Registered Mark that consists of textual or word elements not depicted in Latin-based scripts, such elements will be considered figurative elements and omitted from the Domain Name Applied For. For example, an acceptable Domain Name Applied For based on the following figurative mark would be “ABCD.Asia”:

ΦΒΞ  
ABCD

This provision is broadened for those considerations described in Section 2.2.2 above regarding Sunrise 3 applications for Romanized versions of a Registered Mark.

Notwithstanding the above, the Registry is entitled to consider any incidental secondary meanings of the resulting string in the assessment of the appropriateness of the Domain Name Applied For and the Application.

### 3.4 Declaration of Official English Name

Based on the .ASIA Sunrise Policies, if, for any reasons the name of the holder of the Registered Mark claimed as reported in the documentary evidence and/or the official online trademark database, as claimed by the Applicant in the Application, is different, the Applicant may be requested by the Registry and/or the appointed Verification Agent to submit official documents substantiating that it is the same entity as the holder or hold the appropriate authority of the holder of the Registered Mark.

In the case where the name of a Registered Mark holder is only available in the local language of a trademark office through the trademark database or on the trademark certificate, the Applicant may be required to provide a declaration (a template form will be made available by the Registry) indicating that the Registrant Name is the Official English Name of the Registered Mark holder.

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In such cases, the Applicant must mention “ASSIGNEE” in the field Nature of Entitlement <ipr:entitlement> in the bibliographical data for its Application.

### 3.5 Required bibliographical data for Sunrise 1

The following bibliographical data fields are required for all SR1 Applications:

- Governmental Reserved Name <ipr:name>: corresponding basis of the Domain Name Applied For (i.e. the Reserved Name)
- Locality <ipr:ccLocality>: locality described by a ccTLD within the DotAsia Community
- Sunrise phase <ipr:type>: SR1

### 3.6 Amendments Process

The Registry may assist in the amendment of occasional typographical or clerical errors in Applications. Such amendments will be made at the sole discretion of the Registry, with or without the confirmation of the Applicant.

In any case, it is the sole responsibility of the Applicant to provide accurate, complete and up-to-date information in the Application and, if required, in any documentary evidence requested by the Registry and/or the Verification Agent. The Registry and /or the Verification Agent cannot be held responsible or liable when processing and/or verifying an Application that contains inaccurate, incomplete or outdated information.

### 3.7 .ASIA Sunrise Challenge Process

A mandatory administrative .ASIA Sunrise Challenge Process will be established for all .ASIA Sunrise Applications. All .ASIA Sunrise Domain Name Applicants will be obliged to adhere to this .ASIA Sunrise Challenge Process, which is an administrative procedure administered by a Challenge Resolution Provider that will allow third-party challengers to contest Applications in connection with Applications for particular Domain Names Applied For.

Proceedings under the Uniform Domain Name Dispute Resolution Policy (UDRP) shall not be brought against a Domain Name, as long as such Domain Name is, or can be, subject to a challenge under the .ASIA Sunrise Challenge Process.

Details of the .ASIA Sunrise Challenge Process will be published in due course.

### 3.8 Use and applicability of the Operations & Notifications Contact

An Operations & Notifications Contact (OPN Contact) must be included for all Sunrise Applications. A Registrar may nominate itself as the OPN Contact. For Landrush Applications however, the OPN Contact is optional. In case no OPN Contact has been provided, the .ASIA Registry will temporarily use the Registrant Contact as the OPN Contact for domain name auctions during Landrush applications.

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### 3.9 Use of the SR1 Indication

The .ASIA Registry will use the SR1 indication for allocation of domains applied for through the .ASIA Pioneer Domains Program as well as the activation of other reserved names. More specifically, the <ipr:type> field for the “Sunrise Phase” may be populated with the data “SR1” for such domains.

### 3.10 Automatic Consideration of Application for Subsequent Phases

Sunrise Applications will be automatically considered for subsequent phases throughout the startup process for the .ASIA Registry, should it fail the verification process for the phase it has originally applied for. For example, an Application for SR2a that is not successfully verified based on the SR2a requirements (including through the reconsideration process where applicable) will be automatically considered for SR2b, SR2c, SR3 and Landrush accordingly. All Sunrise Applications therefore, that could not be successfully verified for the Sunrise phases will be automatically entered during the Landrush phase.

The Application fee for application that are automatically considered for a subsequent phase but eventually fail to obtain the domain name will not be refunded.

### 3.11 Acceptable Extensions for SR2c Utilizing Multiple Subclasses

Section 3.6.1 of the .ASIA Sunrise Policies stipulates that “the Domain Name Applied For may contain additional words or phrases (hyphenated or combined -- i.e. space removed) that relate to the class title, subtitles or descriptions for which the Registered Mark is registered for”. The policy does not specify whether or not such collection of words or phrases may be taken from multiple subclasses, titles or descriptions. This is to clarify that such collection will be considered as an Acceptable Extension.

This clarification is applicable also to the provisions set out in Section 1 of this Addendum.

### 3.12 Special Refunds for Unsuccessful SR2c and SR3 Applications

This clarification provides special considerations for refunds of SR2c and SR3 Applications that meet one of the following two criteria:

- SR2c Applications that are based on an SR2a or SR2b Application
- SR3 Applications based on Sections 2.1 and 2.2 of this Addendum

All SR2c Applications that are based on a Registered Mark that already has an Application in SR2a or SR2b will be eligible for full refund should the Application fail because the Acceptable Extension was deemed by the Registry or the Verification Agent to be inappropriate.

In order to specify that an SR2c Application is based on an SR2a or SR2b Application, the Applicant must specify the Domain ID obtained from the .ASIA Registry from the SR2a or SR2b Application in the “Pre-Verified” field (unless the a pre-verified code has already been obtained for the particular SR2c Application).

For example: <ipr:preVerified>D1234-ASIA</ipr:preVerified>

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By specifying however that an SR2c Application is based on an SR2a or SR2b Application, the SR2c Applications will be automatically declined and refunded should the SR2a or SR2b Application specified fail the verification process.

All SR3 Applications based on Section 2.1 (i.e. with an [INI] or [LOC] tag in the <ipr:name> field) or Section 2.2 (i.e. with a [ROM-CO] or [ROM-TM] tag in the <ipr:name> field) will be eligible for full refund should the Application fail because the Acceptable Extension was deemed by the Registry or the Verification Agent to be inappropriate.

## 4 Miscellaneous Provisions

According to Section 7.1 of the .ASIA Sunrise Policies, the .ASIA Registry is entitled to modify the Sunrise Policies from time to time, which modifications will take effect at the time they are announced on the Registry's website and without prior notice to registrars or registrants. This document is such a modification. Furthermore, other miscellaneous provisions, including but not limited to the limitation of liability and decisions by the .ASIA Registry for the Sunrise Policies continue to apply to these addenda and clarifications.

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